



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502-564-4850 phone
502-564-1442 fax
<http://abc.ky.gov>

Instructions for Basic Application

General Requirements

- a. You must be at least 21 years old to apply.
- b. You must be a Kentucky resident for the past year unless a Corporation, LLC, or Ltd. Partnership.
- c. You must be a U.S. citizen unless you apply as a Corporation, LLC, or Ltd. Partnership.
- d. Individuals, owners, partners, officers, directors, and interested parties cannot get a license if convicted of any felony during the past five years or any alcohol or controlled substance related misdemeanor during the past two years.

LOCAL APPROVAL PROCESS

There may be local as well as state requirements and fees. The local ABC administrator's approval of your application must be sent to the state ABC administrator before your license can be processed in Frankfort. Visit <http://abc.ky.gov> to find the local ABC administrator in your area.

TIME TO PROCESS APPLICATIONS

The state ABC office needs at least 60 days to process an application. Local administrator processing time is in addition to that required by the state application. This timeline is based on when a complete application is received by our office. Please take into consideration the processing time your local ABC Administrator may take to review your application. If your application is withdrawn or your license is not issued, the fee will be refunded if you file a **written request for a refund**. The state ABC office will keep \$50 of your application fee for processing costs.

How to Apply

- STEP 1** Advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation. The newspaper must be in the same area as the premises to be licensed. An officer of the newspaper must complete the affidavit of publication. It is a form included with the application. Attach the completed affidavit of publication form and the newspaper clipping to your application.
An advertisement is not needed if applying for a Transporter's, Air Transporter, Special Nonbeverage Alcohol, Malt Beverage Storage, Distilled Spirits/Wine Storage, or Bottling House Storage license.
- STEP 2** In Section (C), list all owners of a privately-owned Sole Proprietor, Partnership, Corporation, Limited Liability Partnership, Limited Liability Company (LLC), etc. Name the trustee if the company is owned in whole or part by a trust, and give the names and ownership percentages of the three highest officers of any entity that owns the Corporation or LLC in whole or part. Interlocking interests of license holders are prohibited by 804 KAR 4:015.
- STEP 3** Applicants must attach copies of statewide police criminal background checks, not more than 30 days old, from all states where they resided during the past five (5) years. For Kentucky dial (800) 928-6381 or go to <http://www.courts.ky.gov>. Attach background checks for all primary officers of a privately owned Corporation or LLC and for any person who owns more than 10% of it. Attach background checks for the three highest officers of a publicly traded Corporation, the Trustee if the private Corporation is owned in whole or part by a Trust, and the three highest officers of any entity that owns the Corporation or LLC in whole or part. This is not necessary for a Transporter's, Air Transporter, or Special Industrial Alcohol license.
- STEP 4** Attach a copy of the articles of incorporation, partnership papers, or organizational papers, if you are applying as a Corporation, Limited Partnership, or LLC. Those papers are not needed for a Transporter's or Air Transporter license.
- STEP 5** Attach a copy of the deed on file with the County Clerk if you own the real estate premises where you plan to sell alcohol. Attach a copy of your lease if you do not own the real estate premises where you plan to sell alcohol. The deed, lease, sub-lease, or management agreement must be valid and complete. It must be made to the same name used to apply for the license. If you possess the premises by sub-lease, also attach a copy of the lease between the real estate owner and the original tenant or lessee showing that the sub-lease complies with the original lease.

- STEP 6** Call the Kentucky Revenue Cabinet at (502) 564-3306 to get the Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 7** Use Section (E) of the application to determine which license type(s) you want. There are different requirements for different licenses. Complete the Sections that apply to your license type(s). Attach all documents necessary for your license type(s). Choose a one (1) or two (2) year period if applying for a Wholesaler, Distributor, Distiller's Class A, Distiller's Class B, Winery, Small farm Winery, Brewer's, or Microbrewery license. Your application will be returned if it is incomplete.
- STEP 8** Section (E) tells you the fee for each license. The NQ Malt Beverage Retail Package License fee is \$210. So is the NQ-4 Malt Beverage Retail Drink License fee. If you get both, one is considered a primary license and the other is a secondary license. The fee for the secondary license is discounted \$160, so instead of \$210 + \$210 = \$420, it is \$210 + \$50 = \$260. Pay your application fee(s) by attaching a completed **REMITTANCE FORM** or a **certified check, cashier's check or money order payable to: Kentucky State Treasurer. WE WILL NOT ACCEPT CASH BY MAIL OR HAND-DELIVERY.**
- STEP 9** Check your application to be sure it is complete and that all required documents are attached. Take it to the local ABC administrator for signature of approval. (Some license types do not require local ABC approval.) Then submit the application to the state ABC.

Quota Retail Package License (liquor/wine) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, H, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

Quota Retail Drink License (liquor/wine) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, G, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ-1 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, J, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-1 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-1 license are limited to convention centers, horse tracks, automobile race tracks, commercial airline systems, commercial rail systems, or a qualifying state park.

NQ-2 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, K, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-2 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-2 license are limited to restaurants with at least 50 seats, motels/hotels, airports, and riverboats.

NQ-3 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, L, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-3 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-3 license are limited to private club or railroad cars (dining cars) and Pullman Company cars.

NQ-4 Retail Malt Beverage Drink License (beer) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ - Retail Malt Beverage Package License(beer) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ - Retail Malt Beverage Package License AND an NQ-4 Retail Malt Beverage Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB if applicable.**

Limited Restaurant License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, M, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Limited Golf Course License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, N, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Qualified Historic License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, O, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Caterer's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, P, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. It is available only in areas where such alcohol sales are permitted. It is not valid in territory where such sales are not authorized. There are local requirements such as food sales percentages.

Transitional License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Q, BB, CC, and the APPLICATION AFFIDAVIT. To qualify to receive a transitional license, you must be purchasing the existing business operating at the premises for which you are applying.** A permanent license will not be issued if any tax liabilities or wholesaler debts are owed. Clearance from the Kentucky Department of Revenue and from the wholesaler is required. The issuance of a transitional license immediately cancels and voids the seller's license at those premises.

Distiller's Class A License/Distiller's Class B License/Rectifier's License OR Winery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, R, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit.** You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky Wholesaler(s) for all brands that will be sold to licensed Kentucky retailers.

Small Farm Winery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, S, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit.** Local ABC approval is not needed. An in-state or out-of-state small farm winery must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky Wholesaler(s) for all brands that will be sold to licensed Kentucky retailers. An in-state small farm winery's intent to apply must be advertised in the newspaper having the largest paid circulation in that county. An out-of-state small farm winery's intent to apply must be advertised in the *Courier-Journal* newspaper in Louisville, Kentucky.

Small Farm Winery Off-Premises License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, T, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This is available to small farm winery license holders only. Local ABC approval is not needed. Any product, including your own, sold at off-site retail premises must first be purchased from a licensed Kentucky wholesaler.

Brewer's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, U, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit.** You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky distributor(s) for all brands that will be sold to licensed Kentucky retailers.

Microbrewery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, V, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit.** You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution/territorial agreement(s) for all brands and designated territory with a licensed Kentucky distributor(s) if your sales are to licensed Kentucky retailers. All microbreweries, including NQ-4 licensees, must file a completed "ABC/Revenue Form-Microbrewery's Retail Gross Receipt Report" with their contracted distributor(s) monthly. That form tracks monthly production and retail sales. Daily direct sales (package and drink) cannot exceed 228 ounces per customer.

Distributor's, Wholesaler's, or Small Farm Winery Wholesaler's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, W, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit.** Local ABC approval is not needed when applying for a Small Farm Winery Wholesaler's License. You must register all brands using **Product Registration Online** to be completed electronically at <https://ky.productregistrationonline.com/distributor/login> or <https://www.productregistrationonline.com>.

Transporter's or Air Transporter License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, X, and the APPLICATION AFFIDAVIT.** You must provide your Interstate Commerce Commission (ICC) Authority.

Malt Beverage Storage License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Y, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** Business types qualified to hold a Malt Beverage Storage License are limited to Kentucky distributors, brewers, and retailers.

Distilled Spirits/Wine Storage License OR Bottle House/Bottling House License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Y, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

Entertainment Destination Center License Complete all fields, and questions in **Sections A, B, C, D, E, F, Z, and the APPLICATION AFFIDAVIT**. Applicants must strictly satisfy 804 KAR 4:370.

Hotel In-Room License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable**. The business type qualified to hold a Hotel In-Room License is limited to that of a bona fide hotel/motel which offers overnight accommodations for hire. This license authorizes unbroken/unopened package retail sales delivered by a hotel/motel employee to a registered guest's room or a permanent cabinet or other such facility (mini bar) accessible only by lock and key and in a guest's room.

Special Nonbeverage Alcohol License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, AA, and the APPLICATION AFFIDAVIT**. Local ABC approval is not needed.

Brew on Premise License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, and the APPLICATION AFFIDAVIT**. Applicants must strictly satisfy 804 KAR 4:340.

Site Inspections

The Kentucky Department of Alcoholic Beverage Control will assign an Investigator after your complete application is received. The Investigator will contact you at the numbers provided on the application to schedule a site inspection at which you or your legally authorized representative will be interviewed. Give the Investigator a professional floor plan or blueprint depicting the interior layout of the premises to be licensed. Final consideration of your application will take place only after the Investigator's inspection report is filed. A second or final inspection is required if construction or remodeling of the real estate premises to be licensed was incomplete at the time of the first inspection.

For assistance contact the Kentucky Department of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, KY 40601-8400; phone (502) 564-4850; fax (502) 564-1442; website <http://abc.ky.gov>

You must obtain a **Federal "Special Occupational Tax" Stamp** or a **"Federal Basic Permit"** from the Alcohol and Tobacco Tax and Trade Bureau (TTB). Forms and instructions are available on line at www.ttb.gov

By e-mail at: ttbtaxstamp@ttb.gov

By mail or in person: Federal Alcohol and Tobacco Tax and Trade Bureau, National Revenue Center, Suite 8002, 550 Main St., Cincinnati, Ohio 45202-5215. Phone: (513) 684-3334; Cincinnati number (1-800-937-8864).



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Val. _____
Val. _____

BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE
Applications will be returned if all questions are not answered completely.

LEAVE BLANK - FOR ABC USE ONLY

License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
Reviewing Licensing Administrative Specialist _____		Input Date _____ Review Date _____
Malt Beverage Administrator's Approval _____		Date _____
Distilled Spirits Administrator's Approval _____		Date _____

SECTION A **SECTION B**

Applicant's business/company name _____ <small>(applicant's name, if sole proprietor)</small> DBA (Doing Business As): _____ Address of premises to be licensed _____ City _____ County _____ Precinct _____ State _____ Zip code _____ Mailing address (if different from above) _____ Contact person _____ E-mail address _____ Contact phone _____ Fax _____ Premises phone _____ Fee enclosed \$ _____	2. Tax numbers must be issued in the applicant's name. Ky. Sales & Use Tax # _____ Ky. Withholding Tax # _____ Ky. Corporate Tax # _____ Federal EIN # _____
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SECTION C

3. Complete the following for the business proprietor, partner(s), and all persons interested in the business to be licensed. List all owners, officers, directors, partners, managing members, members, and shareholders. Show 100% of the ownership. Make an attachment if additional space is needed. If you are operating under a management agreement, please enclose a copy of agreement.

Is this a publicly held company: YES NO

NAME AND HOME ADDRESS	ALL PHONE NUMBERS H= HOME W= WORK F= FAX C= CELL	SOCIAL SECURITY NUMBER OR EIN	TITLE	USA CITIZENSHIP	DATE OF BIRTH	LIST STATE(S) WHERE PERSON RESIDED IN PAST 5 YRS	% OF OWNERSHIP
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			_____%
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			_____%
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			_____%

SECTION D

4. Is the applicant the owner of the real estate where these premises are to be licensed? YES NO
If yes, **attach** a deed. If no, **attach** a signed copy of the lease or permit.

ABC **will not** issue any license(s) unless this lease extends through the full period of the license expiration date.

ABC **will not** issue any license(s) unless the applicant has an active and valid lease or deed permit for the licensed premises.

If the applicant is not the owner of the real estate premises:

Name the owner of the real estate premises _____

Give the address of the owner of the real estate premises _____

Give a contact number for the owner of the real estate premises _____

What is the expiration date of the lease or permit? (MM/DD/YY) _____

5. Are you operating under a management agreement? YES NO

6. If the applicant is a corporation, limited partnership, or limited liability company (LLC), is it in good standing with the Kentucky Secretary of State? YES NO

Identify the state in which the applicant is incorporated or organized: _____

Attach a copy of the applicant's Articles of Incorporation or Articles of Organization.
If incorporated or organized in another state, **attach** a Certificate of Authority to do business in Kentucky.

7. Is any business proprietor, partner, owner, officer, director, managing member, member, shareholder, or other person interested in the business to be licensed **NOT** a U.S. citizen? YES NO

If yes, any non-U.S. citizen must **attach** a copy of the non-U.S. citizen's Social Security Card and federal government issued photo ID with alien ID number, or Federal Employee Identification Number (EIN) of the applicant.

8. Is the entire license fee paid by the applicant and not by any other person? YES NO

9. List **all** sources of the funds for the premises, business, and license fees: _____

a. If funding was obtained with a loan, list the name of the lender(s) or individuals(s): _____

b. List the account number(s) and name(s) of the financial institution(s) where the licensee will bank: _____

c. List all persons who are authorized on the signature card(s) of the account(s) listed on 9b: _____

10. Has the applicant or any person listed in Section C (3) ever held any type of alcoholic beverage licenses? YES NO

If yes, give the name of the state and license number(s) _____

Is this license being transferred to a new location?

11. Does anyone named in Section C (3) of this application have any interest in any kind of alcoholic beverage business or in the premises of any alcoholic beverage business other than that for which the applicant is applying? YES NO

If yes, **attach** a statement identifying the person(s) and describing the interest(s). **Attach** additional pages if needed.

12. Has the applicant or any person named in Section C (3) been convicted of any felony in the past five (5) years or been convicted of a misdemeanor directly or indirectly related to alcohol or a controlled substance in the past two (2) years? YES NO

If yes, **attach** a statement identifying the person(s) convicted and date(s) and type(s) of conviction(s).

13. Has there ever been a suspension, denial, or revocation of any alcoholic beverage license held by the applicant or by any person named in Section C (3) of this application? If yes, **attach** a statement giving a full explanation, including date(s) of suspension, denial, or revocation. YES NO

14. a. Were the premises licensed to sell alcoholic beverages at any time during the past twelve months? YES NO

NOTE: Debts owed by the existing owner shall not prohibit the approval of a new application if the business is not being transferred pursuant to KRS 243.630.

b. Are the premises currently licensed? YES NO

If yes, give the Kentucky License number(s) _____

SECTION D (Continued)

- c. Are the rights of an existing Quota Retail Package license or a Quota Retail Drink license being transferred? YES NO
- d. Is the applicant applying for a new Quota Retail Package license or a Quota Retail Drink license? YES NO
- e. Is the applicant acquiring an interest in the existing business? YES NO

If you answered yes on 14(e), check all the following boxes that apply:

- Inventory Lease Fixtures and equipment
- Ownership by purchase of assets Ownership by purchase of shares Other _____

- f. Does the existing business owe taxes or any tax returns? YES NO

15. Will gasoline or lubricating oil be sold or work be done on motor vehicles? YES NO

If yes, will an inventory of not less than \$5,000 in food, groceries, and related products be maintained? YES NO

16. Will tobacco products, alternative nicotine products, or vapor products be sold at the premise to be licensed? YES NO

If yes, check all products that will be sold:

- Tobacco products Alternative nicotine products Vapor products

SECTION E

17. Check the license type(s) for which the applicant is applying. For each license type selected, the applicant affirms that the requirements for that license type(s) are met.

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
RETAIL - QUOTA		
<input type="checkbox"/> Quota Retail Package License (KRS 243.230, 804 KAR 4:270) A quota license must be available prior to applying.	\$570	\$285
<input type="checkbox"/> Quota Retail Drink License (KRS 243.250, 804 KAR 4:270) A quota license must be available prior to applying.	\$620	\$310
RETAIL-NON QUOTA		
<input type="checkbox"/> NQ Retail Malt Beverage Package License (KRS 243.280)	\$210	\$105
	If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	
<input type="checkbox"/> NQ-4 Retail Malt Beverage Drink License (KRS 243.088)	\$210	\$105
	If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	
<input type="checkbox"/> NQ-1 Retail Drink License (KRS 243.082) Specify the business type:	\$4,120	\$2,060
<input type="checkbox"/> Convention Center - Premises capacity of 1,000 persons		
<input type="checkbox"/> Horse Track - Premises located at a track licensed by the Kentucky Racing Commission (KRS 243.265)		
<input type="checkbox"/> Automobile Race Track - Premises seating capacity of 30,000 persons		
<input type="checkbox"/> Air or Rail System - Commercial airline system or railroad company sells alcohol to passengers on scheduled or chartered trips		
<input type="checkbox"/> State Park - 9- or 18-hole golf course, or full-service lodge and dining room with or without a 9- or 18-hole golf course		

SECTION E (Continued)

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> NQ-2 Retail Drink License (KRS 243.084) Specify the business type: <ul style="list-style-type: none"> <input type="checkbox"/> Restaurant - Minimum 50% of gross annual income from food sales and minimum seating capacity of 50 persons at tables <input type="checkbox"/> Motel/Hotel - Minimum 50 sleeping rooms, 25,000 square feet of parking, and maintain a restaurant with 50% food sales and minimum seating capacity of 50 people at tables <input type="checkbox"/> Airport - Premises located in a commercial airport through which more than 500,000 passengers arrive or depart annually <input type="checkbox"/> Riverboat - Capacity to carry more than 100 passengers, and license from U.S. Coast Guard 	\$830	\$415
<input type="checkbox"/> NQ-3 Retail Drink License (KRS 243.086) Specify the business type: <ul style="list-style-type: none"> <input type="checkbox"/> Private Club - Nonprofit charitable, civic, social, fraternal organization, or political club which has maintained a room from which the general public has been excluded for at least one (1) year <input type="checkbox"/> Dining Car - Railroad or Pullman car company that sells alcohol by package or drink on a train 	\$310	\$155
<input type="checkbox"/> Limited Restaurant License LR100 or LR50 (KRS 241.010 (32), KRS 242.1244, KRS 243.034) <ul style="list-style-type: none"> <input type="checkbox"/> LR100 - Minimum 70% food sales and minimum seating capacity of 100 persons at tables <input type="checkbox"/> LR50 -Minimum 70% food sales and minimum seating capacity of 50 persons at tables 	\$780	\$390
<input type="checkbox"/> Limited Golf Course License (KRS 243.038, KRS 243.039) 9- or 18- hole USGA regulation golf course	\$720	\$360
<input type="checkbox"/> Qualified Historic Site License (KRS 241.010, KRS 243.042)	\$1,030	\$515
<input type="checkbox"/> Caterer's License (KRS 243.033, 804 KAR 4:310) Premises contain commissary and applicant holds food service permit.	\$830	\$415
<input type="checkbox"/> Transitional Malt Beverage License (KRS 243.040, KRS 243.045) Check the box that applies: <ul style="list-style-type: none"> <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business 	\$60	N/A
<input type="checkbox"/> Transitional Distilled Spirits and Wine License (KRS 243.030, KRS 243.045) Check the box that applies: <ul style="list-style-type: none"> <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business 	\$60	N/A

SECTION E (Continued)

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
PRODUCER/SUPPLIER		
<input type="checkbox"/> Distiller's License -Class A [more than 50,000 gallons produced annually] (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$3,090 \$6,180	\$1,545 \$4,635
<input type="checkbox"/> Distiller's License -Class B [50,000 gallons or less produced annually] (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,000 \$2,000	\$500 \$1,500
<input type="checkbox"/> Rectifier's License (KRS 243.120, 804 KAR 4:050) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580 \$5,160	\$1,290 \$3,870
<input type="checkbox"/> Winery License (KRS 243.120, KRS, 243.130) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,030 \$2,060	\$515 \$1,545
<input type="checkbox"/> Small Farm Winery License (KRS 243.155, 804 KAR 4:380) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110 \$220	\$55 \$165
<input type="checkbox"/> Brewer's License (KRS 243.150, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580 \$5,160	\$1,290 \$3,870
<input type="checkbox"/> Microbrewery License (KRS 243.157, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520 \$1,040	\$260 \$780
DISTRIBUTION/WHOLESALE		
<input type="checkbox"/> Wholesaler's License (KRS 243.160, KRS 243.170) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,060 \$4,120	\$1,030 \$3,090
<input type="checkbox"/> Distributor's License (KRS 243.180, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520 1,040	\$260 \$780
<input type="checkbox"/> Small Farm Winery Wholesaler's License (KRS 243.154, 804 KAR 4:420) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110 \$220	\$55 \$165
TRANSPORTERS		
<input type="checkbox"/> Transporter's License (KRS 243.200)	\$210	\$105
<input type="checkbox"/> Air Transporter License (KRS 243.217)	\$520	\$260
STORAGE		
<input type="checkbox"/> Malt Beverage Storage License (KRS 243.353) Specify the required business type: <input type="checkbox"/> Distributor <input type="checkbox"/> Brewer <input type="checkbox"/> Retailer	\$260	\$130

SECTION E (Continued)

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> Distilled Spirits/Wine Storage License (KRS 243.355)	\$620	\$310
<input type="checkbox"/> Bottle House/Bottling House Storage License (KRS 243.030, 804 KAR 4:040)	\$1,030	\$515
SUPPLEMENTAL LICENSES		
<input type="checkbox"/> Supplemental Bar License Fees are required for the first five. (KRS 243.037, KRS 241.010) Select supplemental license type that applies to primary license type:		
<input type="checkbox"/> Quota Liquor Drink Supplemental Bar	\$620	\$310
<input type="checkbox"/> NQ-2 Supplemental Bar	\$830	\$415
<input type="checkbox"/> Limited Restaurant Supplemental Bar	\$780	\$390
<input type="checkbox"/> Limited Golf Course Supplemental Bar	\$720	\$360
<input type="checkbox"/> NQ-3 Private Club Supplemental Bar	\$310	\$155
For how many Supplemental Licenses is the applicant applying? _____		
<input type="checkbox"/> Sampling License (KRS 244.050) Select the required primary license type: <input type="checkbox"/> Distillery <input type="checkbox"/> Quota Retail Package <input type="checkbox"/> Quota Retail Drink <input type="checkbox"/> NQ-2 Retail Drink	\$110	\$55
<input type="checkbox"/> Special Sunday Retail Drink License Available if authorized by local ordinance or election. (KRS 244.290, KRS 243.050)	\$520	\$260
<input type="checkbox"/> Extended Hours Supplemental License Available only to holders of NQ-1 Retail Drink Licenses, Licensee located within a commercial airport, and Qualified Historic Site Licenses (KRS 243.050, 804 KAR 4:230)	\$2,060	\$1,030
<input type="checkbox"/> Small Farm Winery Off-Premises License (KRS 243.155)	\$30	\$15
<input type="checkbox"/> Hotel In-Room License (KRS 243.055)	\$210	\$105
SPECIALTY LICENSES		
<input type="checkbox"/> Entertainment Destination Center License (KRS 243.030, 804 KAR 4:370)	\$7,730	\$3,865
<input type="checkbox"/> Brew on Premises License (KRS 243.040), 804 KAR 4:340)	\$520	\$260
<input type="checkbox"/> Special Nonbeverage Alcohol License (KRS 243.320, 804 KAR 4:070)	\$60	\$30

SECTION F

18. List all types of licenses applied for in Section E: _____

19. Describe in detail the type of business and how alcoholic beverages will be sold: _____

If applicable, how will Malt Beverages (beer) will be sold at the business: Drink Package Both Drink and Package.

If applicable, how will Wine and Distilled Spirits will be sold at the business: Drink Package Both Drink and Package.

Required Supplemental Information for Retail Licenses:

SECTION G

This section must be completed if applying for a Quota Retail Drink License.

20. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
21. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License? YES NO
22. Is the applicant applying for a NQ Retail Malt Beverage Package License? YES NO
23. Is the applicant applying for a Supplemental Bar License? YES NO
- State the number of additional Supplemental Bar licenses applied for: _____
24. Is the applicant applying for a Special Sunday Retail Drink License? YES NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
25. Is the applicant applying for a Sampling License? YES NO

SECTION H

This section must be completed if applying for a Quota Retail Package License.

26. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
27. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License? YES NO
28. Is the applicant applying for a NQ Retail Malt Beverage Package License? YES NO
29. Is the applicant applying for a Sampling License? YES NO
30. Are the premises to be licensed located within an incorporated city? YES NO

SECTION I

This section must be completed if applying for an NQ Retail Malt Beverage Package or NQ-4 Retail Malt Beverage Drink License.

31. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
32. If you are a Small Farm Winery applying for an NQ-4 retail malt beverage drink license, do you comply with all of the requirements prescribed in KRS 243.155(5)? YES NO
33. Check all licenses for which you are applying:
 NQ Retail Malt Beverage Package License NQ-4 Retail Malt Beverage Drink License
34. Do you plan to sell growlers? YES NO
35. Do you plan to sell marine fuel? YES NO
36. Do you plan to permit consumption of alcoholic beverages on the license premise? YES NO
- If yes, please describe the nature of your business _____

SECTION J

This section must be completed if applying for an NQ-1 Retail Drink License.

37. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
38. Is the applicant applying for an Extended Hours Supplemental License? YES NO

SECTION J (Continued)

39. Does the applicant qualify for the NQ-1 Retail Drink License as a:

- | | |
|--|--|
| <input type="checkbox"/> Commercial airline system | <input type="checkbox"/> Convention center |
| <input type="checkbox"/> Auto racetrack | <input type="checkbox"/> Commercial railroad company |
| <input type="checkbox"/> Horse racetrack | <input type="checkbox"/> State Park |

40. If qualifying as a horse racetrack, **attach** a copy of its racing license issued by the Kentucky Racing Commission.

41. If qualifying as an air or rail system, **attach** a copy of the listing of the air or rail terminals used and the locations of the storage areas.

42. If qualifying as a state park, please select all that apply to the premise:

- 9-hole golf course
- 18-hole golf course
- Full service lodge and dining room

SECTION K

This section must be completed if applying for an NQ-2 Retail Drink License.

43. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

44. Is the applicant applying for a Special Sunday Retail Drink License? YES NO

This supplemental license is available ONLY if Sunday sales have been authorized by local ordinance or election.

45. Does the applicant qualify for the NQ-2 Retail Drink license as a:

- | | |
|---|--|
| <input type="checkbox"/> Restaurant with 50 seats | <input type="checkbox"/> Motel/Hotel |
| <input type="checkbox"/> Riverboat | <input type="checkbox"/> Airport Drink |
| | <input type="checkbox"/> N/A |

If qualifying as a Riverboat, **attach** a copy of the applicant's license issued by the United States Coast Guard authorizing the applicant's Riverboat to carry 100 or more passengers.

Give the address of the applicant's port: _____

46. Is the applicant applying for a Supplemental Bar License(s)? YES NO

If yes, how many? _____

47. Is the applicant applying for a Sampling License? YES NO

48. If a Motel or Hotel, is the applicant applying for a Hotel In-Room License? YES NO

SECTION L

This section must be completed if applying for a NQ-3 Retail Drink License.

49. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

50. Does the applicant qualify for the NQ-3 Retail Drink License as a Private Club Dining Car? YES NO

51. If qualifying as a private club, **attach** documentary evidence of the applicant's non-profit status.
If qualifying as a private club, has the applicant maintained a club room(s) or all rooms of the premises from which the public has been excluded for one year? YES NO

52. Is the applicant applying for a Supplemental Bar License(s)? YES NO

If yes, how many? _____

53. Is the applicant applying for a Special Sunday Retail Drink License? YES NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION M

This section must be completed if applying for a Limited Restaurant License.

54. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
55. Does the applicant qualify for the Limited Restaurant License by meeting the requirements of:
 100 seats and 70% gross annual receipts from food sales 50 seats and 70% gross annual receipts from food sales
56. Is the applicant applying for a Supplemental Bar License(s)? YES NO
If yes, how many? _____
57. Is the applicant applying for a Special Sunday Retail Drink License? YES NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION N

This section must be completed if applying for a Limited Golf Course License.

58. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
59. Is the applicant applying for a Supplemental Bar License(s)? YES NO
If yes, how many? _____
60. Is the applicant applying for a Special Sunday Retail Drink License? YES NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION O

This section must be completed if applying for a Qualified Historic Site License.

61. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
62. Is the applicant applying for an Extended Hours Supplemental License or a Special Sunday Retail Drink License? YES NO

SECTION P

This section must be completed if applying for a Caterer's License.

63. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
64. Do the proposed premises contain a commissary? YES NO
Attach a copy of the applicant's Food Service Permit issued by the local Health Department.
65. Is the applicant applying for a Special Sunday Retail Drink License? YES NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

66. If the applicant is applying for a Caterer's License as a supplemental license, check the applicable primary license type:
- Quota Retail Package License NQ-2 Retail Drink License
 Quota Retail Drink License Limited Restaurant License
 NQ-1 Retail Drink License

SECTION Q

This section must be completed if applying for a Transitional License.

The Distilled Spirits Administrator and Malt Beverage Administrator may issue a Transitional License(s) valid for a term of 60 days during the time a **transfer of an ongoing business** is being processed. To obtain a Transitional License, the applicant **MUST** meet the requirement of KRS 243.045:

67. Has the applicant properly filed a permanent application with both the local ABC Administrator and Kentucky Department of Alcoholic Beverage Control? YES NO
68. Has the applicant advertised its intention to apply for a license pursuant to KRS 243.360? YES NO
69. Has the applicant paid all application fees for the permanent license(s)? YES NO

Attach a copy of the required criminal background checks.

- **Once a Transitional License is issued, the seller's previously existing license, at that premises, is cancelled and therefore is no longer a valid license.**
- **If any outstanding tax liabilities or wholesaler debts are owed on the business, no permanent alcohol license shall be issued by the Department until any such debts are paid.**

SECTION R

This section must be completed if applying for a Distiller's Class A, Distiller's Class B, Rectifier's, or Winery License.

70. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
71. The applicant is applying for the following license type
- Distiller's Class A - produce more than 50,000 gallons annually
- Distiller's Class B - produce 50,000 gallons or less annually
- Rectifier
- Winery
72. **Attach** a copy of the applicant's Federal Basic Permit
73. If qualifying as a Distiller, is the applicant also applying for a Sampling License? YES NO

All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.

SECTION S

This section must be completed if applying for a Small Farm Winery License.

74. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
- Attach** a copy of the applicant's Federal Basic Permit.
- Attach** any available Federal Report of Wine Premises Operation form showing the small farm winery's production amounts.
75. The applicant is applying for the following license type:
- NQ-4 Retail Malt Beverage Drink License
- NQ-2 Retail Drink License
76. Is the applicant applying for a Special Sunday Retail Drink License? YES NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
77. In which state is the small farm winery located? _____
78. **If not located in Kentucky, attach a copy of the applicant's equivalent license from that state.**

Please be advised: All product brands intended for in state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>

SECTION T

This section must be completed if applying for a **Small Farm Off-Premises License**.

79. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
80. The applicant is applying for the following license type(s)
- NQ Retail Malt Beverage Package License
- NQ-4 Retail Malt Beverage Drink License
81. Is the applicant applying for a Special Sunday Retail Drink License? YES NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
82. Does the applicant currently hold a Kentucky Department of Alcoholic Beverage Control Small Farm Winery License? YES NO
- If yes, provide the applicant's license number _____

SECTION U

This section must be completed if applying for a **Brewer's License**.

83. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
84. **Attach** a copy of the applicant's Federal Basic Permit.
- All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sales or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.**

SECTION V

This section must be completed if applying for a **Microbrewery License**.

85. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO
86. Does the applicant hold any other license types? YES NO
- If yes, what? _____
87. **Attach** a copy of the applicant's Federal Basic Permit.
88. List all additional eligible license types (pursuant to KRS 243.157) for which the applicant is applying:
- _____
- _____
- All product brands intended for in-state retail sales must be registered by the applicant with the Department prior to any sale or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>
 - Production volumes must fully comply with statutory requirements.

SECTION W

This section must be completed if applying for a Wholesaler's, Distributor's, or Small Farm Winery Wholesaler's License.

89. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

90. **Attach** a copy of the applicant's Federal Basic Permit.

If applying for a storage license, complete Section Y of this application.

Distribution Contracts or Territorial Agreements must be in place for all producers and suppliers. All product brands intended for sale or importation into the Commonwealth must be jointly registered by the suppliers and the applicant with the Department prior to any sale or delivery in the Commonwealth. All wholesalers or distributors shall use Product Registration Online to be completed electronically at <https://ky.productregistrationonline.com/distributor/login> or <https://www.productregistrationonline.com>.

SECTION X

This section must be completed if applying for a Transporter's or Air Transporter License.

91. Does the applicant meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

Specify whether applicant is:

Resident of Kentucky

Non-Resident of Kentucky

Attach a copy of the applicant's Interstate Commerce Commission (ICC) authority.

92. If the applicant is applying for an Air Transporter License, is the applicant a commercial airline system, charter flight system, or commercial cargo system? YES NO

93. If granted a license, I will allow any authorized field representative of the department to stop and examine the cargo of any truck or vehicle in which alcoholic beverages are being transported within the Commonwealth of Kentucky. Initial here _____

SECTION Y

This section must be completed if applying for a Malt Beverage Storage, Distilled Spirits/Wine Storage, or Bottling House/Bottling House Storage License.

94. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

95. If a Retailer, Distributor, or Brewer applying for a Malt Beverage Storage License, state the applicant's Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:

96. If applying for a Distilled Spirits/Wine Storage or Bottling House/Bottling House Storage License as a supplemental license, state the applicant's primary Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:

97. If applying for a Distilled Spirits Wine Storage License, state the applicant's intended use:

SECTION Z

This section must be completed if applying for an Entertainment Destination Center License.

98. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

99. Are the proposed premises located in an area that meets the definition of an Entertainment Destination Center pursuant to 804 KAR 4:370? YES NO

SECTION AA

This section must be completed if applying for a **Special Nonbeverage Alcohol License**.

100. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? YES NO

101. For what nonbeverage purpose does the applicant seek this license?

102. If the applicant holds any equivalent Federal Basic Permit(s), **attach** to this application.

SECTION BB

THE SELLER MUST COMPLETE THIS SECTION IF SECTION (D) 14 HAS BEEN ANSWERED "YES" OR IF A LICENSE IS BEING TRANSFERRED.

I (we), _____ hereby swear and affirm that I am the owner or
(print full name)

authorized officer of _____ that holds the following state license(s), the numbers of which are given here:
(name of business)

_____. The business is located at _____
(business address)

My contact information _____, _____, _____, _____, _____
(street address) (city) (county) (state) (zip)

_____ (phone number) _____ (fax number) _____ (email address)

I (we) hereby surrender said license(s) and in doing so relinquish all rights and claims thereto and all privileges hereunder. I understand that if a license transfer is not approved, said license surrender shall be null and void and the license shall remain in the seller's name.

Signature of Seller _____ **Title** _____ **Date** _____
(If a partnership, all partners **must sign**. If a corporation, only one officer **must sign**)

SECTION CC

This section is not required if the applicant is applying for any of the following license types: Special Nonbeverage Alcohol, Air Transporter, Transporter's, Out-of-State Distilled Spirits/Wine Producer/Supplier, Limited Out-of-State Distilled Spirits/Wine Producer/Supplier, Micro Out-of-State Distilled Spirits/Wine Producer/Supplier, Special Agent/Solicitor, Small Farm Winery, Out-of-State Malt Beverage Producer/Supplier, or Limited Out-of-State Malt Beverage Producer/Supplier. Applicants must complete this section if an equivalent local license is required.

OBTAIN LOCAL ABC ADMINISTRATOR'S SIGNATURE OF APPROVAL

The local ABC Administrator must approve this application and such approval must be forwarded to the state ABC. Take or mail this application, fees, and all attachments to the local ABC Administrator. Obtain the local ABC Administrator's signature and approval or arrange for such approval to be sent to the state ABC Office.

I certify under oath that the applicant(s) has been approved for the equivalent local license type(s) applied herein for the identified premises, and that the applicant satisfies all local ordinances.

APPLICANT NAME _____ **ADDRESS** _____

SIGNATURE OF APPROVAL OF LOCAL ABC ADMINISTRATOR _____ **DATE** _____

City of _____ **Administrator** **County of** _____ **Administrator**

APPLICATION AFFIDAVIT

AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE(S)

I, (print your name here) _____, **do hereby swear or affirm under penalty of perjury** that all statements contained in this application and all its attachments are true and correct to the best of my knowledge, information, and belief. I hereby swear and affirm that I shall not engage in any activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate license(s) by the Kentucky Department of Alcoholic Beverage Control. I hereby swear and affirm that if the license(s) is issued, I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages. I hereby swear and affirm that no persons listed in Section (C) 3 of this application are in default of a repayment obligation under any financial program administered by a Kentucky Higher Education Assistance Authority (KHEAA) such as a student loan repayment.

Signature of Buyer or New Applicant _____ **Title** _____ **Date** _____

This concludes the ABC Basic Application.

Note: Once you have completed the application, please save a copy of the electronic version for your records. Then, print the completed applications and return it to the Department of Alcoholic Beverage Control. We accept completed applications by hand delivery, mail, or fax.

CHECK LIST

- | | | | |
|-----|---|------------------------------|-----------------------------|
| 1. | Have you included a completed REMITTANCE FORM or a certified check, cashier's check, business check, or money order for license(s) fees made payable to the "Kentucky State Treasurer"? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | No cash will be accepted. | | |
| 2. | Have you answered each question fully and checked the type(s) of license(s) you are applying for? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 3. | Have you signed your application(s) ? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 4. | If applicable, has the seller signed the application? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 5. | Have you attached a certified copy of your newspaper advertisement for this license? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 6. | Have you attached a signed/valid copy of your deed or lease? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 7. | Have you attached a copy of your criminal background check from the state(s) where you have resided for the past five years? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 8. | Have you secured the signature of approval from your local ABC Administrator on this application (if applicable)? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | Visit http://abc.ky.gov for a list of Local ABC Administrators in your area. | | |
| 9. | Have you reviewed your application to assure there are no errors, missing information and/or responses? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 10. | Have all additional required documents been attached? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502-564-4850 phone
502-564-1442 fax
<http://abc.ky.gov>

REMITTANCE FORM

*****There will be a 2.75% Convenience Fee added to the total for Credit Card payments and \$0.35 for EFT/ACH payments.*****

If you are making payment with a Credit Card or by EFT please provide the following information.

Print Name (as it appears on credit card) _____ Telephone No. _____

Billing Address _____

AMOUNT \$ _____

Method of payment

Credit Card Card Type: Visa Master Card Discover

Account Number _____ Expiration Date (Month and Year) _____ / _____

(OR)

EFT (Bank Name) _____, (Routing #) | : _____ | : (Checking Account #) _____ | :

Reason for your payment

Purpose: _____

Credit or apply this payment to: (Licensee Name) _____ (DBA) _____

Site I.D.# _____ License # _____ (Phone) _____

**EXAMPLE OF PUBLIC NOTICE
WHEN APPLYING FOR AN ABC LICENSE**

KRS 243.360 requires a person to advertise by publication under KRS 424.130(1)(b) his or her intention to apply for a license before filing an alcohol license application. Please use this form to assist you with this requirement. KRS 424.120 identifies which newspapers qualify.

**YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS:
(Fill in the blanks)**

_____, Mailing address
(List the Name of each individual owner(s) or the name of the Corporation, Ltd, or L.L.C. the license will be issued under)

_____, Hereby declares intention(s)
(Include Street, City, State and Zip)

to apply for a _____ license(s)

(List **all license types** and **business types** for which you are applying. (Example) Quota Retail Drink, Quota Retail Package, NQ1 – Retail Drink License Convention Center, NQ1-Retail Drink License Horse Race Track, Alcoholic Beverage NQ2-Restaurant Liquor, Wine and Malt Beverages (beer) by the Drink, NQ-Malt Beverage Package, Caterer's, Alcoholic Beverage NQ3-Private Club, Alcoholic Beverage Limited Restaurant by the Drink, Alcoholic Beverage Limited Golf course by the Drink, and so on...) AND all business types. (**Be sure** to refer to your ABC application and the attached license type list for correct names for all license types which you are making application.)

no later than _____, The business to be licensed will be
(Enter the date you intend to make application to the State ABC)

located at _____ Kentucky _____
(List the **EXACT** street address and city where the ABC license is to be issued) (Zip)

doing business as _____
(List the name of your business (D.B.A.))

The (owner(s); Principal Officers and Directors; Limited Partners; or Members) are as follows:

_____ ,	_____	of	_____
Title or position	Name		Home address, city, state and zip code
_____ ,	_____	of	_____
Title or position	Name		Home address, city, state and zip code
_____ ,	_____	of	_____
Title or position	Name		Home address, city, state and zip code
_____ ,	_____	of	_____
Title or position	Name		Home address, city, state and zip code
_____ ,	_____	of	_____
Title or position	Name		Home address, city, state and zip code

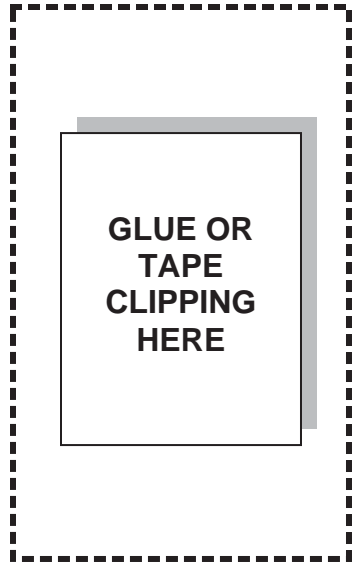
Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Dept. of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, Ky. 40601-8400, within 30 days (KRS 243.430) of the date of this legal publication. (End of advertisement)

Forward a clipping of this advertisement along with the Affidavit of Publication to:

**COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL**
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
(502) 564-4850 phone
(502) 564-1442 fax
<http://www.abc.ky.gov>



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502-564-4850 phone
502-564-1442 fax
<http://abc.ky.gov>



AFFIDAVIT OF PUBLICATION

**Attesting Publication of Intention to Engage in an
Alcoholic Beverage Business**

The following Affidavit of Publication is to be executed by an officer of the newspaper in which the applicant advertised, one time before the date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license(s) applied for. A clipping of the advertisement must be attached to this Affidavit of Publication.

_____ of _____
(Name of Officer at Newspaper) (City) (State)

Being first duly sworn, says that he / she is _____
(Title of Position at Paper)

of the _____ a newspaper printed and published in the
(Name of Newspaper)

State of _____ County of _____, and having a general circulation in the County of

_____, Kentucky, and that the attached advertisement is a true copy and has been

Published in said newspaper on the following date(s): _____

Signature of Officer _____

Subscribed and sworn to before me, a Notary Public within and for the State and County aforesaid, by

_____ to me personally known, this _____ day of _____ (year) _____

My Commission expires the _____ day of _____ (year) _____

County of _____ Notary Public _____

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
 1003 Twilight Trail
 Frankfort, Kentucky 40601-8400
 502-564-4850 phone
 502-564-1442 fax
<http://abc.ky.gov>

**HOW TO OBTAIN YOUR
 STATE CRIMINAL HISTORY INFORMATION - GO TO THE TELEPHONE NUMBER OR WEB LINK BELOW:**

Alabama	1-866-740-4762 or 334-517-2470	http://background.alabama.gov/
Alaska	907-269-5767	http://www.dps.state.ak.us/statewide/background/
Arizona	602-223-2222	http://www.azdps.gov/Services/Records/Criminal_History_Records/
Arkansas	501-618-8500	https://www.ark.org/criminal/index.php
California	Please contact our office for information. http://oag.ca.gov/fingerprints/security	
Colorado	303-239-4208	https://www.cbirecordscheck.com/
Connecticut	860-685-8480	http://www.ct.gov/despp/lib/despp/reports_and_records/dps-846-c.pdf
Delaware	302-739-2134	Please contact our office for information.
Florida	850-410-8109	https://web.fdle.state.fl.us/search/app/default
Georgia	404-244-2639	http://gbi.georgia.gov/obtaining-criminal-history-record-information
Hawaii	808-587-3100	https://ecrim.ehawaii.gov/ahewa/
Idaho	208-884-7130	http://www.isp.idaho.gov/BCI/index.html
Illinois	815-740-5160	http://www.isp.state.il.us/crimhistory/chri.cfm
Indiana	317-233-5424	http://www.in.gov/ai/appfiles/isp-lch/
Iowa	515-725-6066	http://www.dps.state.ia.us/DCI/supportoperations/crimhistory/obtain_records.shtml
Kansas	1-800-452-6727 or 785-296-6518	http://www.kansas.gov/kbi/criminalhistory/
Kentucky	800-928-6381 or 502-573-1682	http://courts.ky.gov/aoc/criminalrecordreports/Pages/default.aspx

Effective January 19, 2010, all applicants who are Kentucky residents are required to obtain and submit their own Kentucky police record/criminal background check from the Kentucky Administrative Office of the Courts (KAOC). Kentucky ABC will no longer be accepting payment for or requesting criminal background checks on behalf of the applicant. Please go to the AOC website for full instructions on obtaining background checks.

Louisiana	225-925-6096	http://wwwprd.doa.louisiana.gov/LaServices/PublicPages/ServiceDetail.cfm?service_id=3386
Maine	207-624-7240	http://www5.informe.org/online/pcr/
Maryland	410-764-4501 or 888-795-0011	http://www.dpscs.state.md.us/publicservs/bgchecks.shtml
Massachusetts	617-660-4600	http://www.mass.gov/eopss/agencies/dcjis/
Michigan	517-241-0606	http://michigan.gov/msp/0,4643,7-123-1589_1878_8311---,00.html www.michigan.gov/ichat
Minnesota	651-793-2420	https://cch.state.mn.us/
Mississippi	601-364-1101	Please contact our office for information.
Missouri	573-526-6312	https://www.machs.mshp.dps.mo.gov/MACHSFP/home.html

Montana	406-444-3625	https://doj.mt.gov/enforcement/background-checks/
Nebraska	402- 479-4971	https://www.nebraska.gov/apps-nsp-limited-criminal/
Nevada	775- 684-6262	http://www.nvrepository.state.nv.us/criminal.shtml
New Hampshire	603- 223-3867	http://www.nh.gov/safety/divisions/nhsp/ssb/crimrecords/index.html#criminal
New Jersey	609-882-2000 ext. 2918	http://www.state.nj.us/njsp/about/serv_chrc.html
New Mexico	505-827-9181	http://www.dps.nm.org/index.php/criminal-history-records/
New York	518-457-5837 or 1-800-262-3257	http://www.criminaljustice.ny.gov/ojis/recordreview.htm
North Carolina	919-890-1000	http://www.nccourts.org/Citizens/GoToCourt/Default.asp?topic=1
North Dakota	701-328-2210	http://www.ag.nd.gov/BCI/CHR/
Ohio	877- 224-0043	http://www.ohioattorneygeneral.gov/Business/Services-for-Business/WebCheck/Webcheck-Community-Listing
Oklahoma	405-848-6724	http://www.ok.gov/osbi/Criminal_History/
Oregon	503-378-5470 or 888-272-5545	http://www.oregon.gov/dhs/chc/Pages/forms.aspx
Pennsylvania	1-888-783-7972	https://epatch.state.pa.us/Home.jsp
Rhode Island	401-274-4400	http://www.riag.state.ri.us/bci/records.php
South Carolina	803- 737-9000	http://www.sled.state.sc.us/CISystem/Images//Catch/CriminalRecordsCheckForm.pdf
South Dakota	605- 773-3331	http://dci.sd.gov/Operations/Identification/BackgroundCheckRequirements/StateOnlyBackgroundCheck.aspx
Tennessee	615- 744-4000	http://www.tbi.state.tn.us/background_checks/backgrd_checks.shtml
Texas	866- 220-0679	https://records.txdps.state.tx.us/DpsWebsite/CriminalHistory/
Utah	801-965-4445	http://publicsafety.utah.gov/bci/crimrecords.html
Vermont	802-241-5157	http://vcic.vermont.gov/record_checks
Virginia	804-674-6718	http://www.vsp.state.va.us/CJIS_Criminal_Record_Check.shtm
Washington	360- 534-2000 option 2	http://www.wsp.wa.gov/crime/chrequests.htm
West Virginia	304--746-2498	http://www.statepolice.wv.gov/Criminal%20Records/Pages/default.aspx
Wisconsin	608- 266-7314	http://www.doj.state.wi.us/dles/cib/background-check-criminal-history-information
Wyoming	307- 777-7181	http://wyomingdci.wyo.gov/dci-criminal-justice-information-systems-section/criminal-records-section



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
 1003 Twilight Trail
 Frankfort, Kentucky 40601-8400
 502-564-4850 phone
 502-564-1442 fax
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REVISED RENEWAL SCHEDULE & LICENSE EXPIRATION DATES

Please be advised renewal dates & expiration dates have been changed effective June 25, 2013

1. Utilize the chart below to assist the licensee in determining what month an active license will expire and in what month the applicant must renew the license.
2. Licenses expire on the last day of the month.
3. From the chart below, find the county where the premises are located.
4. Please note: Renewal Notices should be mailed to you six (6) weeks prior to the licensee's annual expiration date. Failure to renew by the indicated expiration date may result in the license becoming inactive.

County Code	Name of County	License Expiration Month
1.	Adair	April
2.	Allen	April
3.	Anderson	June
4.	Ballard	January
5.	Barren	April
6.	Bath	April
7.	Bell	April
8.	Boone	November
9.	Bourbon	June
10.	Boyd	June
11.	Boyle	April
12.	Bracken	June
13.	Breathitt	April
14.	Breckinridge	January
15.	Bullitt	January
16.	Butler	January
17.	Caldwell	January
18.	Calloway	January
19.	Campbell	November
20.	Carlisle	January
21.	Carroll	June
22.	Carter	June
23.	Casey	April
24.	Christian	January
25.	Clark	April
26.	Clay	April
27.	Clinton	April
28.	Crittenden	January

County Code	Name of County	License Expiration Month
29.	Cumberland	January
30.	Daviess	January
31.	Edmonson	January
32.	Elliott	April
33.	Estill	April
34.	Fayette	November
35.	Fleming	April
36.	Floyd	April
37.	Franklin	June
38.	Fulton	January
39.	Gallatin	June
40.	Garrard	April
41.	Grant	June
42.	Graves	January
43.	Grayson	January
44.	Green	January
45.	Greenup	June
46.	Hancock	January
47.	Hardin	January
48.	Harlan	April
49.	Harrison	April
50.	Hart	January
51.	Henderson	January
52.	Henry	June
53.	Hickman	January
54.	Hopkins	April
55.	Jackson	April
56.	Jefferson	October
57.	Jessamine	April
58.	Johnson	April
59.	Kenton	November
60.	Knott	April
61.	Knox	April
62.	Larue	January
63.	Laurel	April
64.	Lawrence	April
65.	Lee	April
66.	Leslie	April
67.	Letcher	April
68.	Lewis	June
69.	Lincoln	April
70.	Livingston	January
71.	Logan	April
72.	Lyon	January
73.	McCracken	January
74.	McCreary	April
75.	McLean	January
76.	Madison	April
77.	Magoffin	April
78.	Marion	April

County Code	Name of County	License Expiration Month
79.	Marshall	January
80.	Martin	April
81.	Mason	June
82.	Meade	January
83.	Menifee	April
84.	Mercer	April
85.	Metcalfe	January
86.	Monroe	January
87.	Montgomery	April
88.	Morgan	April
89.	Muhlenberg	April
90.	Nelson	April
91.	Nicholas	June
92.	Ohio	January
93.	Oldham	June
94.	Owen	January
95.	Owsley	April
96.	Pendleton	June
97.	Perry	April
98.	Pike	June
99.	Powell	April
100.	Pulaski	April
101.	Robertson	June
102.	Rockcastle	April
103.	Rowan	June
104.	Russell	April
105.	Scott	June
106.	Shelby	June
107.	Simpson	April
108.	Spencer	January
109.	Taylor	April
110.	Todd	April
111.	Trigg	January
112.	Trimble	January
113.	Union	January
114.	Warren	April
115.	Washington	April
116.	Wayne	April
117.	Webster	January
118.	Whitley	April
119.	Wolfe	June
120.	Woodford	June

Kentucky Department of Alcoholic Beverage Control

License Type(s)	Fee	Renewal	Half Year Fee
1 Distiller's License - Class A *	\$3,090	\$3,090	\$1,545
2 Distiller's License - Class B *	\$1,000	\$1,000	\$500
3 Rectifier's License *	\$2,580	\$2,580	\$1,290
4 Out-of-State Distilled Spirits/Wine Producer/Supplier's License *	\$1,550	\$1,550	\$775
5 Limited Out-of-State Distilled Spirits/Wine Producer/Supplier's License *	\$260	\$260	\$130
6 Micro Out-of-State Producer/Supplier's Distilled Spirits/Wine License *	\$10	\$10	\$5
7 Winery License (<i>Renamed Vintner's License</i>) *	\$1,030	\$1,030	\$515
8 Small Farm Winery License *	\$110	\$110	\$55
9 Small Farm Winery Off-Premises License	\$30	\$30	\$15
10 Small Farm Winery Wholesaler's License	\$110	\$110	\$55
11 Brewers' License*	\$2,580	\$2,580	\$1,290
12 Microbrewery License*	\$520	\$520	\$260
13 Out-of-State Malt Beverage Producer/Supplier's License *	\$1,550	\$1,550	\$775
14 Limited Out-of-State Malt Beverage Producer/Supplier's License *	\$260	\$260	\$130
15 Brew-on-Premises License	\$520	\$520	\$260
16 Wholesaler's License *	\$2,060	\$2,060	\$1,030
17 Special Agent's or Solicitor's License	\$30	\$30	\$15
18 Distributor's License *	\$520	\$520	\$260
19 Quota Retail Package License (<i>Liquor/wine</i>)	\$570	\$570	\$285
20 Quota Retail Drink License (<i>Liquor/wine</i>)	\$620	\$620	\$310
21 NQ-1 Retail Drink License (<i>nonquota</i>)	\$4,120	\$4,120	\$2,060
22 NQ-2 Retail Drink License (<i>nonquota</i>)	\$830	\$830	\$415
23 NQ-3 Retail Drink License (<i>nonquota</i>)	\$310	\$310	\$155
24 NQ-4 Retail Malt Beverage Drink License (<i>nonquota</i>) <i>NEW Beer License</i>	\$210	\$210	\$105
<i>Secondary NQ Malt Beverage "Retail Package" License **</i>	\$50	\$50	\$25
25 NQ-Retail Malt Beverage Package License (<i>nonquota</i>) <i>NEW Beer License</i>	\$210	\$210	\$105
<i>Secondary NQ-4 Malt Beverage "Retail Drink" License **</i>	\$50	\$50	\$25
26 Supplemental Bar License			
NQ-2 Retail Drink License Supplemental Bar	\$830	\$830	\$415
Limited Restaurant Supplemental Bar	\$780	\$780	\$390
Limited Golf Course License Supplemental Bar	\$720	\$720	\$360
Quota Retail Drink License Supplemental Bar	\$620	\$620	\$310
NQ-3 Retail Drink License Supplemental Bar	\$310	\$310	\$155
27 Extended Hours Supplemental License	\$2,060	\$2,060	\$1,030
28 Transporter's License	\$210	\$210	\$105
29 Air Transporter License	\$520	\$520	\$260
30 Bottle House/Bottling House Storage License	\$1,030	\$1,030	\$515
31 Malt Beverage Storage License	\$260	\$260	\$130
32 Distilled Spirits/Wine Storage License	\$620	\$620	\$310
33 Special Temporary License	\$90	\$90	
34 Special Temporary Distilled Spirits and Wine Auction License	\$110	\$110	
35 Hotel In-Room License	\$210	\$210	\$105
36 Sampling License	\$110	\$110	\$55
37 Limited Restaurant License	\$780	\$780	\$390
38 Limited Golf Course License	\$720	\$720	\$360
39 Entertainment Destination Center License	\$7,730	\$7,730	\$3,865
40 Qualified Historic Site License	\$1,030	\$1,030	\$515
41 Transitional Distilled Spirits and Wine License	\$60	\$60	
42 Transitional Malt Beverage License	\$60	\$60	
43 Special Nonbeverage Alcohol License	\$60	\$60	\$30
44 Caterer's License	\$830	\$830	\$415
45 Special Sunday Retail Drink License	\$520	\$520	\$260

* These license types have a one (1) or two (2) year licensing/renewal option available.

** The qualifying holder of either type of retail malt beverage (beer) licenses (either the NQ-4 Retail Malt Beverage Drink or the NQ-Retail Malt Beverage Package license) may add the second license for a reduced licensing fee of \$50.00